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Attorney Docket No. 3200.22D
Confirmation No. 3507

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Carl Steven Nichols *et al.*
Serial No.: 10/628,077
Filed: July 25, 2003

Group Art Unit: 1772

For: METHODS FOR THE LATE INTRODUCTION OF
ADDITIVES INTO POLYETHYLENE TEREPHTHALATE

December 22, 2003

Commissioner for Patents
Mail Stop Missing Parts
Alexandria, VA 22313

**SUBMITTAL OF MISSING PARTS AND AN INFORMATION
DISCLOSURE STATEMENT CITATION UNDER
37 C.F.R. § 1.97 & 1.98**

Sir,

The above-referenced application is a continuation of the non-provisional patent application Ser. No. 09/738,150, now U.S. Patent No. 6,599,596. Consequently, in response to the Notice to File Missing Parts dated October 24, 2003, and according to the provisions of 37 CFR § 1.63(d), Applicants enclose a copy of the Declaration and Power of Attorney executed by the named inventors and filed initially in the parent application Ser. No. 09/738,150.

Applicants enclose a check in the amount of \$1,224 for (1) the late filing fee and declaration surcharge (37 CFR § 1.16(e))—\$130, (2) the basic filing fee for a non-small entity—\$770, and (3) the claim fees for 18 additional claims—\$324. Any additional fee or credit may be charged to Deposit Account No. 50-0332.

In addition, Applicants enclose a list of documents on Form PTO/SB/08a, and request that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 & 1.98, and MPEP § 609.

Applicants are providing copies of the following references cited in the above-referenced list of documents: U.S. patent Nos. 6,500,890; 6,599,596; 6,569,991; 6,573,359; 6,590,069; and U.S. patent application publication Nos. 2002-0156157-A1; 2002-0156158-A1.

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Applicants have cited and provided all other listed documents in the parent application Serial No. 09/738,150. Because the benefit of this application is claimed under 35 U.S.C. § 120, no copies need to be furnished in accordance with 37 C.F.R. § 1.98(d). Applicants, however, will provide copies upon request.

Respectfully submitted,



Richard L. Additon
Reg. No. 43,460

021176
11610 North Community House Road,
Suite 200
Ballantyne Corporate Park
Charlotte, North Carolina 28277-2162
Telephone: 704-945-6702
Facsimile: 704-945-6735
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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 3

Complete if Known

Application Number	10/628,077
Filing Date	July 25, 2003
First Named Inventor	Carl S. Nichols
Art Unit	1772
Examiner Name	
Attorney Docket Number	3200.22D

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	1	US- 6,599,596	07/29/2003	Nichols, et al.	
	2	US- 6,500,890	12/31/2002	Edwards, et al.	
	3	US- 6,284,866	09/04/2001	Schiavone	
	4	US- 6,150,454	11/21/2000	Wu, et al.	
	5	US- 6,110,405	08/29/2000	King, et al.	
	6	US- 6,559,271	05/06/2003	Schaaf, et al.	
	7	US- 6,423,764	07/23/2002	Zhao, et al.	
	8	US- 6,121,351	09/19/2000	Weaver, et al.	
	9	US- 6,048,922	04/11/2000	Brink, et al.	
	10	US- 5,976,450	11/02/1999	Mreijen	
	11	US- 5,945,460	08/31/1999	Ekar, et al.	
	12	US- 5,922,828	07/13/1999	Schiraldi	
	13	US- 5,898,058	04/27/1999	Nichols, et al.	
	14	US- 5,834,089	11/10/1998	Jones, et al.	
	15	US- 5,376,702	12/27/1994	Stibal, et al.	
	16	US- 5,008,230	04/16/1991	Nichols	
	17	US- 5,712,362	01/27/1998	Scholl, et al.	
	18	US- 5,939,516	08/17/1999	Greaves, et al.	

FOREIGN PATENT DOCUMENTS

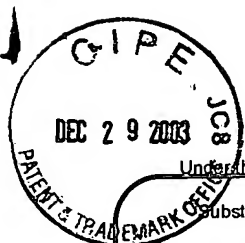
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	19	WO 02/16464 A2	02/28/2002	Milliken & Company		
	20	WO 00/12793 A	03/09/2000	Wellman, Inc.		
	21	WO 00/66659 A	11/09/2000	Aston University		
	22	WO 99/41297 A	08/19/1999	BASF AG		
	23	EP 0718341 A2	06/26/1996	Rosenquist		

Examiner
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Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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(Use as many sheets as necessary)

Sheet 2 of 3**Complete if Known**

Application Number	10/628,077
Filing Date	July 25, 2003
First Named Inventor	Carl S. Nichols
Art Unit	1772
Examiner Name	
Attorney Docket Number	3200.22D

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		Number-Kind Code ² (if known)			
	24	US- 5,919,872	07/06/1999	Tung, et al.	
	25	US- 5,874,517	02/23/1999	Huang, et al.	
	26	US- 5,574,078	11/12/1996	Elwakil	
	27	US- 5,308,892	05/03/1994	Zickler, et al.	
	28	US- 5,262,471	11/16/1993	Akao	
	29	US- 5,240,980	08/31/1993	Danielson, et al.	
	30	US- 4,786,673	11/22/1988	Morival, et al.	
	31	US- 4,898,897	02/06/1990	Kiyohara, et al.	
	32	US- 4,778,858	10/18/1988	Ginnings	
	33	US- 4,654,399	03/31/1987	Callander, et al.	
	34	US- 4,643,925	02/17/1987	Smith, et al.	
	35	US- 4,443,573	04/17/1984	Wells, et al.	
	36	US- 4,374,949	02/22/1983	Massey, et al.	
	37	US- 4,365,031	12/21/1982	Massey, et al.	
	38	US- 4,312,960	01/26/1982	Ort, et al.	
	39	US- 4,224,264	09/23/1980	Ort, et al.	
	40	US- 4,132,707	01/02/1979	Borman	
	41	US- 6,569,991	05/27/2003	Nichols, et al.	

FOREIGN PATENT DOCUMENTS

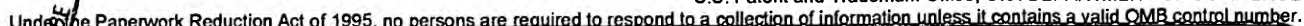
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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	42	EP 0169085 A1	01/22/1986	Atochem		
	43	EP 0703263 A1	03/27/1996	Bayer AG		
	44	JP 08120066 A	05/14/1996	Mitsubishi Chem Corp		
	45	JP 03039348 A	02/20/1991	Asahi Chem Ind Co Ltd		
	46	JP 11323126 A	11/26/1999	Bridgestone Corp.		

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Sheet	3	of	3
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Application Number	10/628 077
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Filing Date	July 25, 2003
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First Named Inventor	Carl S. Nichols
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Art Unit	1772
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Examiner Name

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